

# Alfvén Eigenmodes in Spherical Tokamaks

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# INTRODUCTION

- The primary motivation for the spherical tokamak (ST) concept is its predicted high- $\beta$  limit [1]. **Record value of volume-averaged  $\beta \cong 40\%$  was achieved in START NBI-heated plasmas [2].** The concept of high- $\beta$  burning plasma STs is considered [3].
- **Alfvén instabilities** are of major concern for magnetic fusion as they can lead to **losses/redistribution of fast ions including alpha-particles.**
- Lots of Alfvén instabilities excited by NBI-produced energetic ions have been observed on START and MAST:
  - *fixed-frequency modes in TAE and EAE frequency range;*
  - *frequency-sweeping “chirping” modes;*
  - *fishbones;*
  - *modes at frequencies above the AE frequency range.*These instabilities in ST experiments:
  - provide a **test-bed** for testing theoretical models on Alfvén instabilities in **ITER;**
  - stimulate **experimental studies** of energetic-ion-driven instabilities over broad range of plasma beta, up to  **$\beta(0) \geq 1$**  proposed for burning STs [3]

[1] Y-K M Peng and D J Strickler, Nuclear Fusion 26 (1986) 769

[2] M P Gryaznevich et al., Phys. Rev. Lett. 80 (1998) 3972

[3] H R Wilson et al., Proc. 19<sup>th</sup> IAEA Fusion Energy Conf. (2002) IAEA-CN-94/FT/1-5

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## WHY ALFVÉN INSTABILITIES ARE COMMON IN STs?

- Tight aspect ratio ( $R_0/a \sim 1.2\div 1.8$ ) limits the value of magnetic field at level  $B_T \sim 0.15\div 0.6$  in present-day STs  $\Rightarrow$  Alfvén velocity in ST is very low

$$V_A = B_T / (4\pi n_i m_i)^{1/2} \cong 10^6 \text{ ms}^{-1} \text{ (START)}$$

(compare, e.g. to Joint European Torus (JET), where  $V_A \cong 7 \times 10^6 \text{ ms}^{-1}$ )

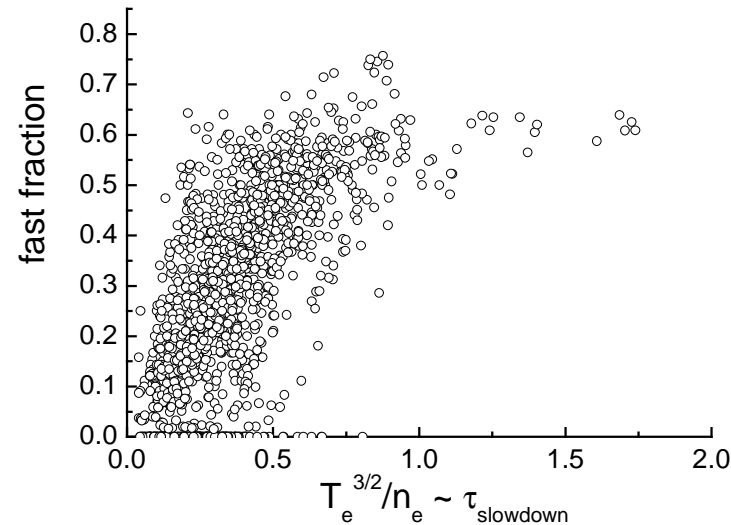
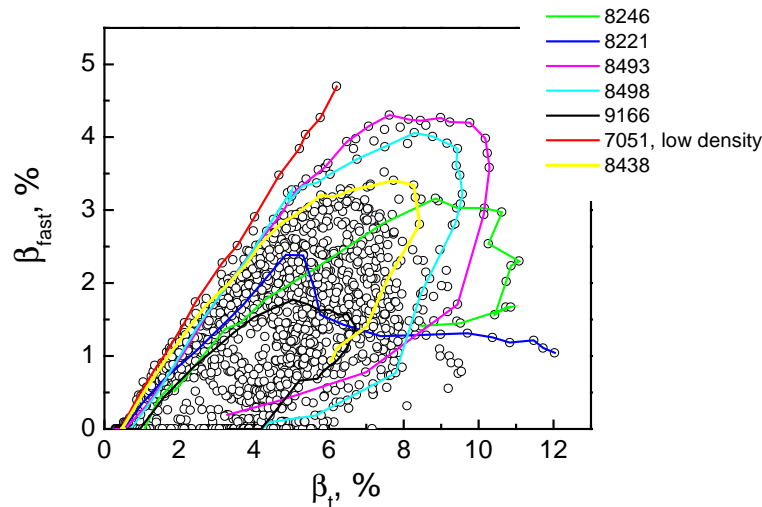
- Even a relatively low-energy NBI, e.g. 30 keV hydrogen NBI on START had speed

$$V_{\text{NBI}} \cong 2.4 \times 10^6 \text{ ms}^{-1} > V_A ,$$

- The **super-Alfvénic** NBI can excite Alfvén waves via the fundamental resonance  $V_{||\text{NBI}} = V_A$ . Free energy source for the Alfvén instability: radial gradient of beam ions,  $(\gamma/\omega)_{\text{AE}} \propto -q^2 r_{\text{AE}} (d\beta_{\text{beam}}/dr)$

# WIDE RANGE OF PLASMA / BEAM PARAMETERS ON STs

Ratio  $\beta_{fast} / \beta_{thermal}$  in STs can be higher than what is obtained in other tokamaks



Typical values of  $\beta_{fast}$  and  $\beta_{thermal}$  in MAST discharges (TRANSP analysis by M.Gryaznevich)

Ratio  $\beta_{fast} / \beta_{thermal}$  vs. slowing-down time in MAST discharges. The spread is caused by difference in NBI power and plasma density.



both 'perturbative' AEs (TAEs) and 'non-perturbative' Energetic Particle Modes can exist

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## WIDE RANGE OF PLASMA / BEAM PARAMETERS ON STs

Thermal plasma  $\beta_{\text{thermal}}$  can be as high as  $\beta_{\text{thermal}}(0) \sim 1$ . High beta can affect Alfvén instabilities in two ways (at least).

1) High plasma pressure suppresses TAEs;

2) Thermal ion Landau damping plays a stronger role. Indeed, since

$$\beta_i \equiv 8\pi n_i T_i / B_T^2 = (2T_i / m_i) \times (4\pi n_i m_i / B_T^2) = (V_{Ti} / V_A)^2$$

Alfvén waves interact stronger with thermal ions as  $\beta_{\text{thermal}}$  increases. Limiting cases:

**low- $\beta$  discharges:**

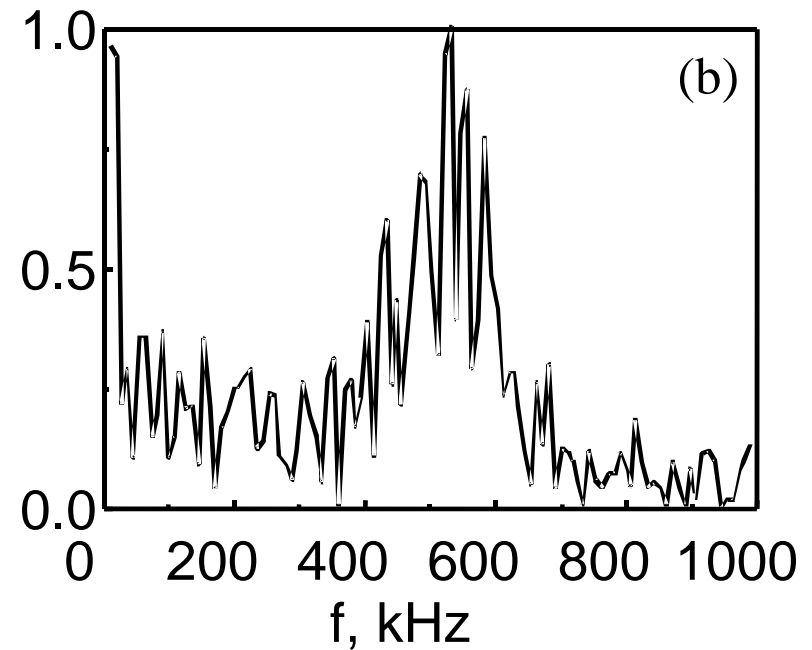
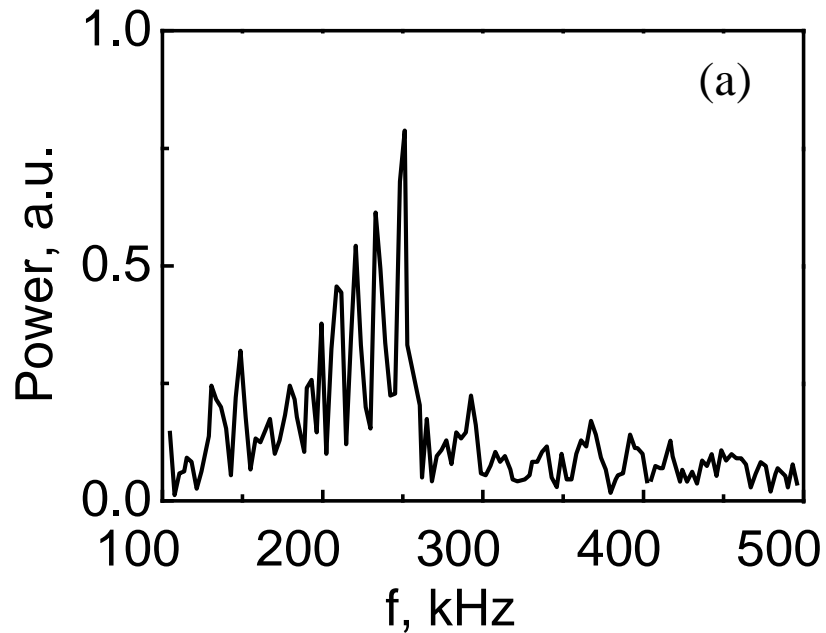
$V_{Ti} \ll V_A \leq V_{\text{beam}} \ll V_{Te}$ . Instability is determined by **fast ion profile**, while **thermal ions** play a stabilising role (via  $V_{\parallel i} = V_A/3$  resonance);

**discharges with  $\beta_i \sim 1$ :**

$V_{Ti} \sim V_A \ll V_{\text{beam}} \ll V_{Te}$ . Stability/instability is determined by **thermal ions**

## OBSERVATIONS ON START (LOW- $\beta$ DISCHARGES)

- START:  $R_0 \approx 0.3 \div 0.37$  m;  $a \approx 0.23 \div 0.3$  m;  $I_p \approx 300$  kA;  $B_0 \approx 0.15 \div 0.6$  T
- Hydrogen beam co-injected into D plasmas:  $E_{\text{NBI}} \approx 30$  keV,  $P_{\text{NBI}} \leq 0.8$  MW
- **Modes with fixed frequencies**  $f_{\text{AE}} \approx 200$ -250 kHz (#35305), lasting for 1-5 ms, were observed in pulses with  $P_{\text{NBI}} \leq 0.5$  MW and in early phase of some pulses with  $P_{\text{NBI}} \leq 0.8$  MW, when  $\beta_{\text{T}} \leq 3$ -5%
- Mode frequency  $\sim$  TAE frequency  $f_{\text{TAE}} \equiv V_A / 4\pi q R_0 \sim 200$  kHz
- Poloidal mode numbers of the excited modes,  $m = 1$ -4, are in agreement with the strongest drive estimate for TAE,  $\Delta_{\text{orbit}} \sim r_{\text{TAE}}/m$
- Both Toroidal and Elliptical AEs (frequency range  $f_{\text{EAE}} \approx 2 f_{\text{TAE}}$ ) were observed



*Mirnov coil signal Fourier power spectra of:*

*(a) fixed-frequency TAE at  $t \sim 26\text{ms}$ , START, shot #35305,  $\beta < 3\%$ ;*

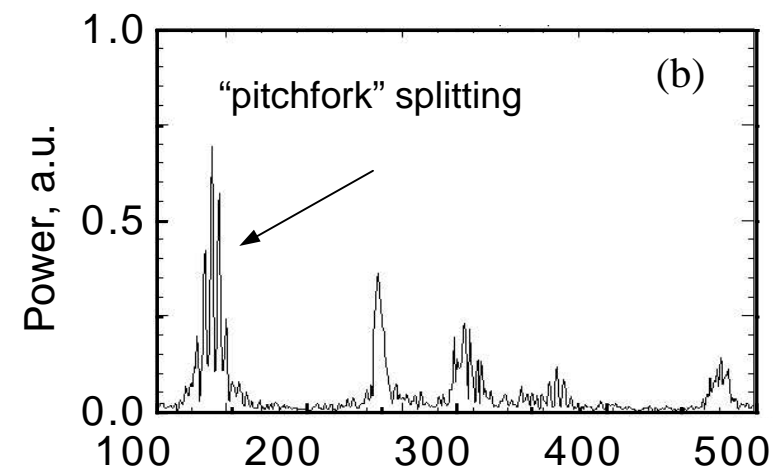
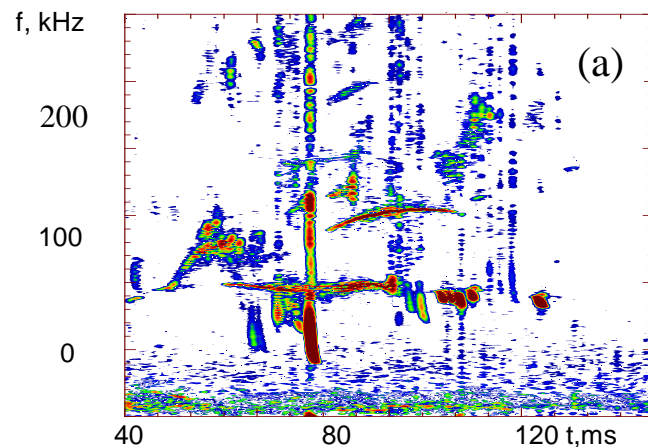
*(b) fixed-frequency EAEs in the EAE gap,  $t \sim 26.7\text{ms}$ , START #36484,  $\beta \sim 4\%$ .*

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## OBSERVATIONS ON MAST (LOW- $\beta$ DISCHARGES)

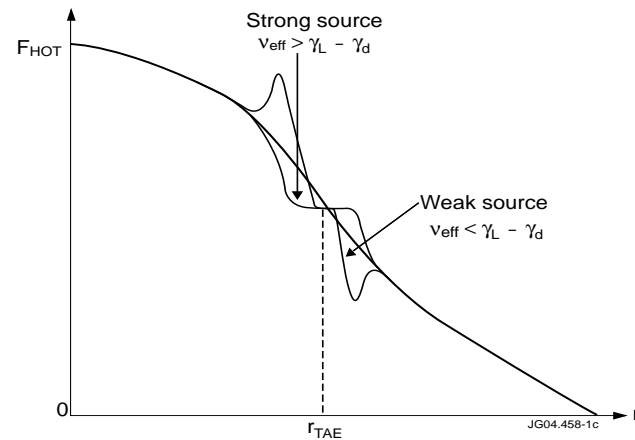
- MAST:  $R_0 \approx 0.9$  m;  $a \approx 0.7$  m;  $I_p \approx 1.35$  MA (achieved in 2003);  $B_0 \approx 0.4\div 0.7$  T;
- D beam co-injected into D plasmas:  $E_{\text{NBI}} \cong 45$  keV,  $P_{\text{NBI}} \leq 3.2$  MW
- Both TAE and EAE observed on MAST, but the modes are longer lasting ( $>20$  ms), more numerous, with a broader range of unstable  $n$ 's. Fine **“pitchfork” splitting** of the spectrum is often observed (as shown in the Figure (b) for MAST discharge #2884).



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# NONLINEAR EVOLUTION OF TAE INSTABILITY



**Non-linear TAE behaviour depends on competition between the field of the mode that tends to flatten distribution function near the resonance (effect proportional to the net growth rate  $\gamma \equiv \gamma_L - \gamma_d$ ) and the collision-like processes that constantly replenish it (proportional to  $v_{\text{eff}}$ )**

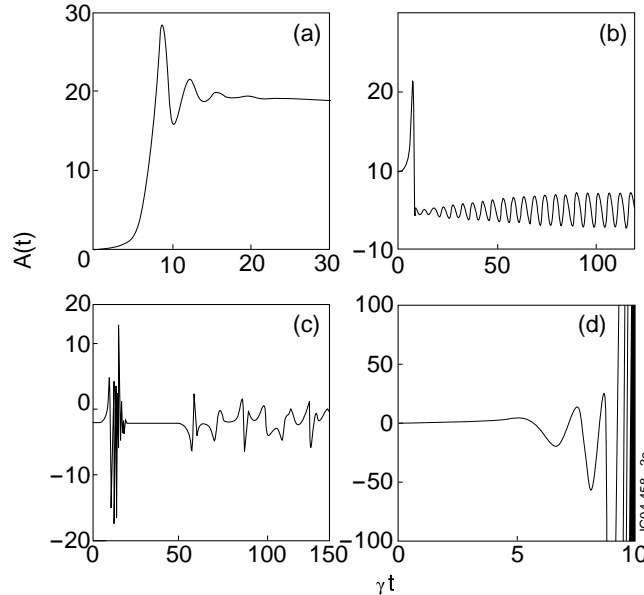
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# NONLINEAR EVOLUTION OF TAE INSTABILITY

## Nonlinear equation for TAE amplitude

$v = 4.31 ; \Delta \tau = 0.01 ; A(0) = 0.01$     $v = 2.2 ; \Delta t = 0.01 ; A(0) = 0.01$



$v = 1.28 ; \Delta t = 0.0015 ; A(0) = 0.0001$     $v = 1.15 ; \Delta t = 0.01 ; A(0) = 0.07$

$$\frac{dA}{dt} = A - \exp(i\phi) \int_0^{t/2} \tau^2 \int_0^{t-2\tau} \exp[-v^3 \tau^2 (2\tau/3 + \tau_1)] \times A(t-\tau)A(t-\tau-\tau_1)A^*(t-2\tau-\tau_1)d\tau_1d\tau$$

derived in [4] describes four different regimes of TAE:

- a) Steady-state (observed);
- b) Periodically modulated (observed as 'pitchfork-splitting' effect);
- c) Chaotic;
- d) Explosive regimes of TAE-behaviour as functions of  $v \equiv v_{\text{eff}} / \gamma$

- Explosive regime in a more complete non-linear model [5] leads to **frequency-sweeping 'holes' and 'clumps'** on the perturbed distribution function.

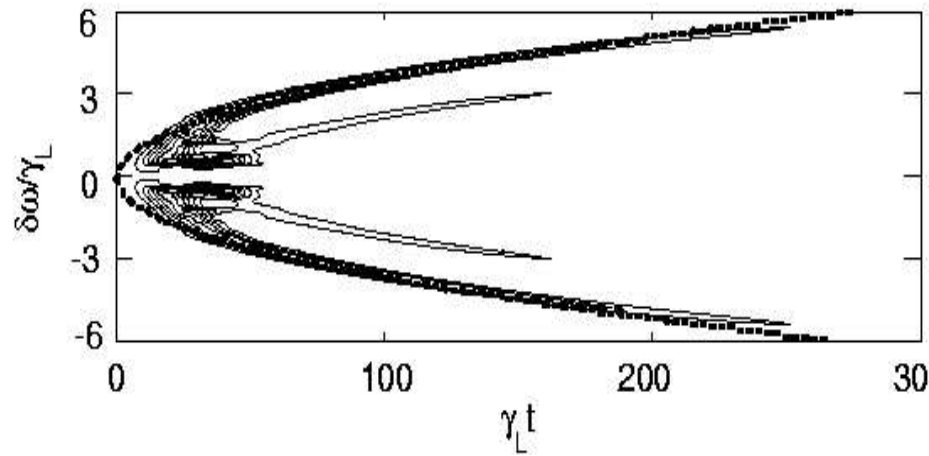
[4] H.L.Berk, B.N.Breizman, and M.S.Pekker, *Plasma Phys. Reports* **23** (1997) 778

[5] H.L.Berk, B.N.Breizman, and N.V.Petviashvili, *Phys. Lett. A* **234** (1997) 213

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## ON THE HOLES AND CLUMPS THEORY



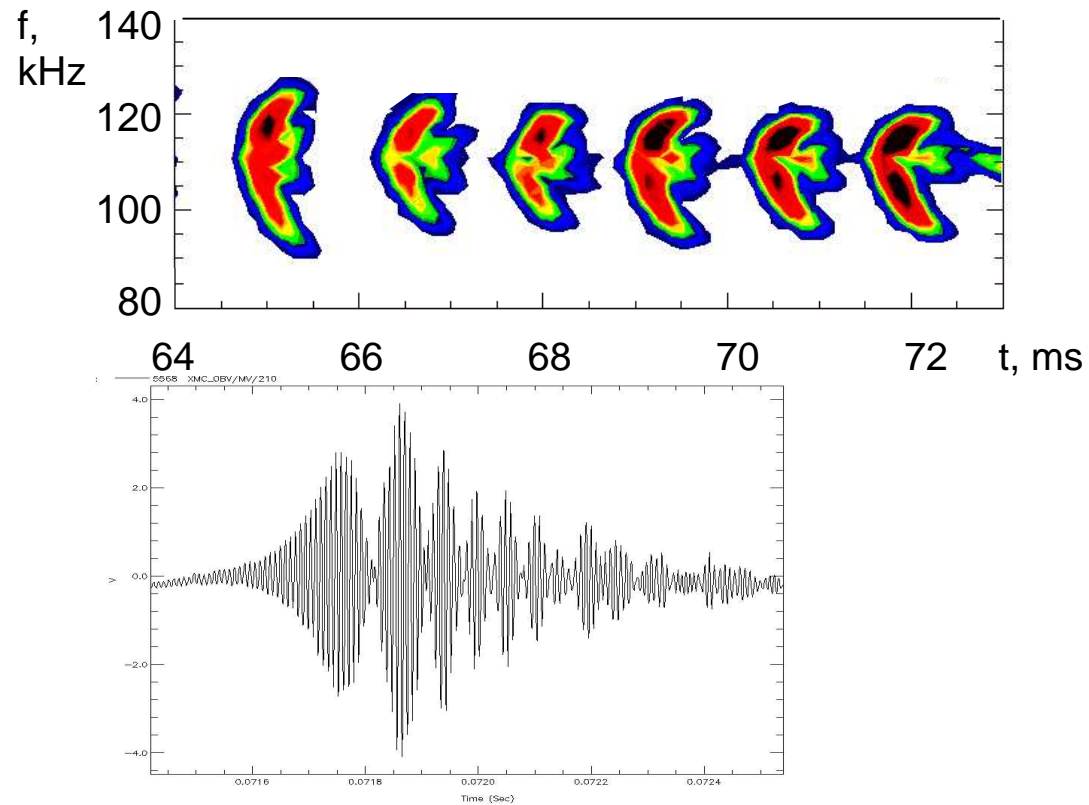
- Beyond the ‘explosive’ regime, theoretical prediction shows two long-living thermal fluctuations on the perturbed distribution function.
- These long-living Bernstein-Greene-Kruskal (BGK) nonlinear waves sweep in frequency away from the starting frequency, with frequency sweep related to the particle trapping frequency in the TAE field:

$$\delta\omega \propto \omega_b^{3/2} t^{1/2}; \quad \omega_b(t) \propto \left| \delta B_{TAE} \right|^{1/2}$$

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# MAST: FREQUENCY-SWEEPING MODES ARISING FROM TAEs

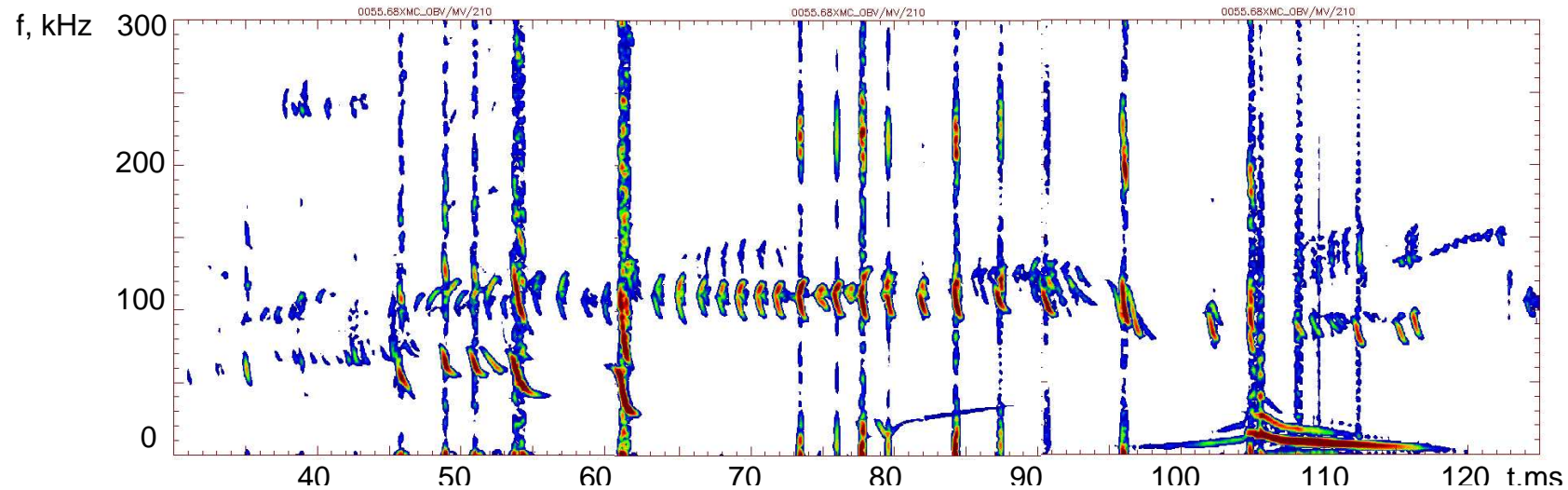


**Primary suspect: hole-clump frequency-sweeping pairs**

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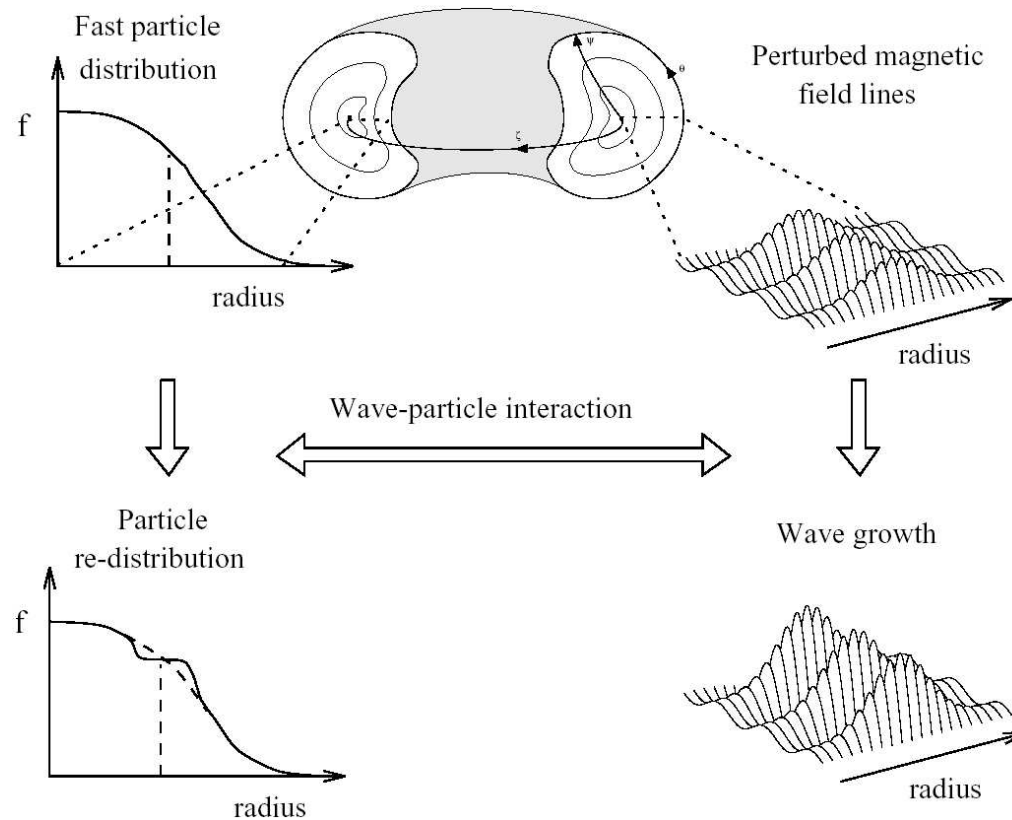
## MAST: FREQUENCY-SWEEPING MODES ARISING FROM TAEs



For hole-clump triggering:

- Plasma should be near the linear instability threshold.
- Collisional effects should be sufficiently weak to allow an “explosive” initialisation of holes and clumps. *This means, that the up-chirping modes are likely to be observed at lower densities or higher temperatures.*

# INTERPRETING THE SWEEPING MODES WITH HAGIS CODE<sup>6</sup>

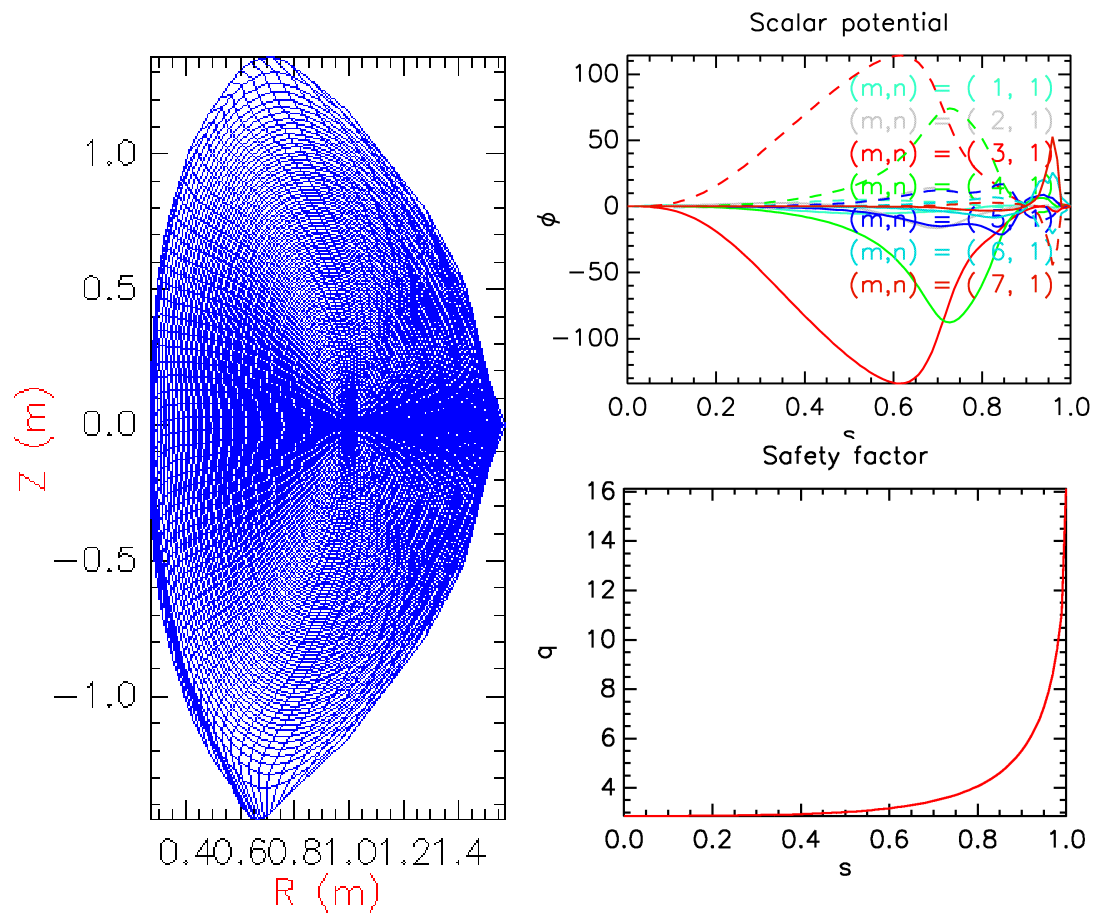


[6] S.D.Pinches et al., *Computer Physics Communications* 111 (1998) 133

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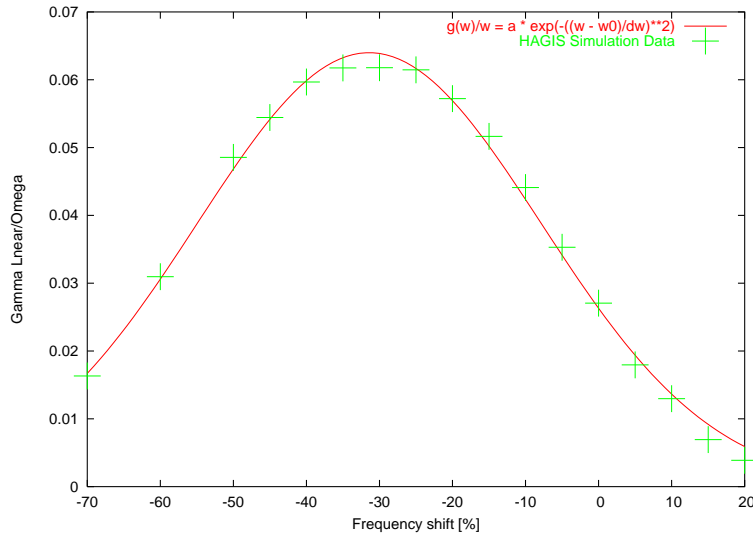
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# INTERPRETING THE SWEEPING MODES WITH HAGIS CODE

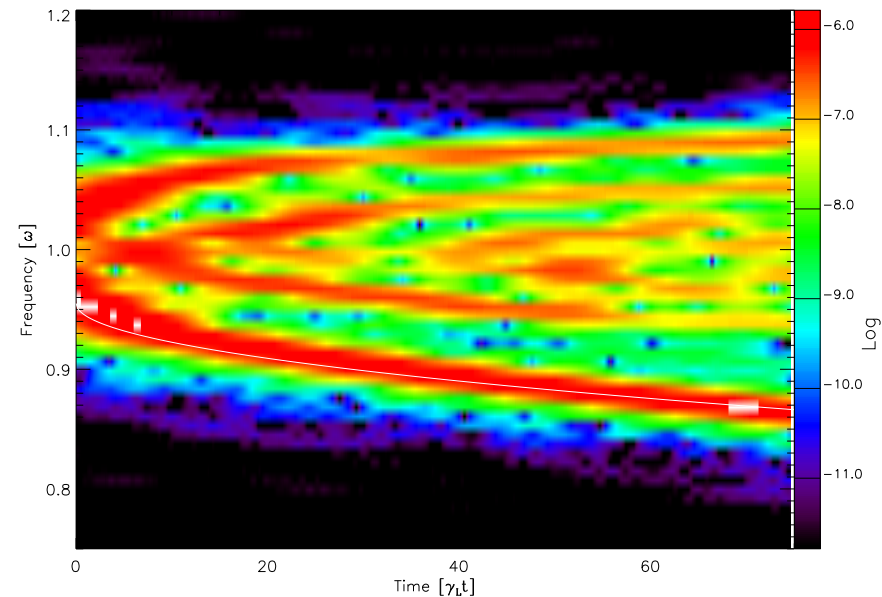


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# INTERPRETING THE SWEEPING MODES WITH HAGIS CODE



Growth rate as a function of mode frequency  $\omega$ .  
Up-down symmetric frequency-sweeping modes  
are obtained for  $\omega$  at the maximum point.



JET Shot: 60741 : Chn: HAGIS data  
Time: 0.0000 to 75.0000 npt: 18207.0 nstp: 16 nfft: 4096 f1: 0.750 f2: 1.200  
hagspec v3.14 (spinch) - User: spinch : Fri Sep 24 14:04:29 2004

Amplitude of the TAE perturbation as a function of  
time and frequency.  $\gamma_L/\omega=3\%$ ;  $\gamma_d/\omega=2\%$ .

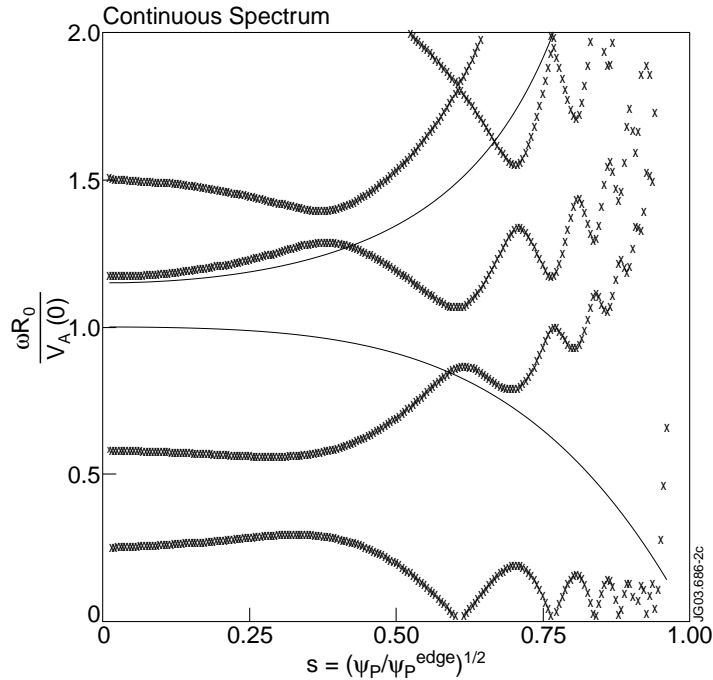
**Absolute amplitude of TAE-perturbation could be estimated from the frequency-sweeping rate [7]**

[7] S.D.Pinches et al., Plasma Physics Controlled Fusion 46 (2004) S47

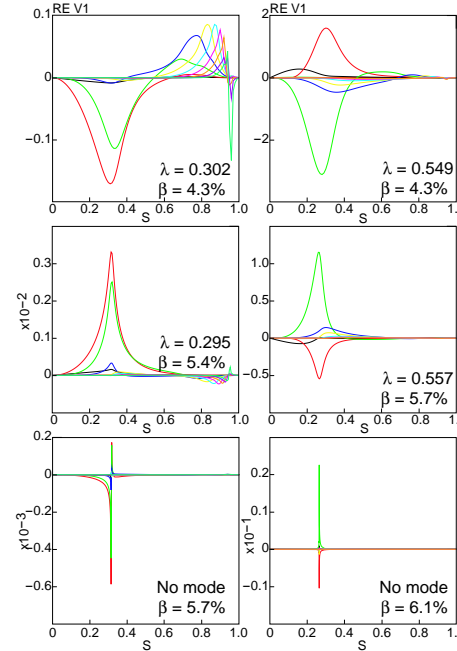
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# HIGHER-BETA DISCHARGES: TAEs AT GROWING PRESSURE



Continuous spectrum of the shear Alfvén waves in START ( $\beta=3.9\%$ )

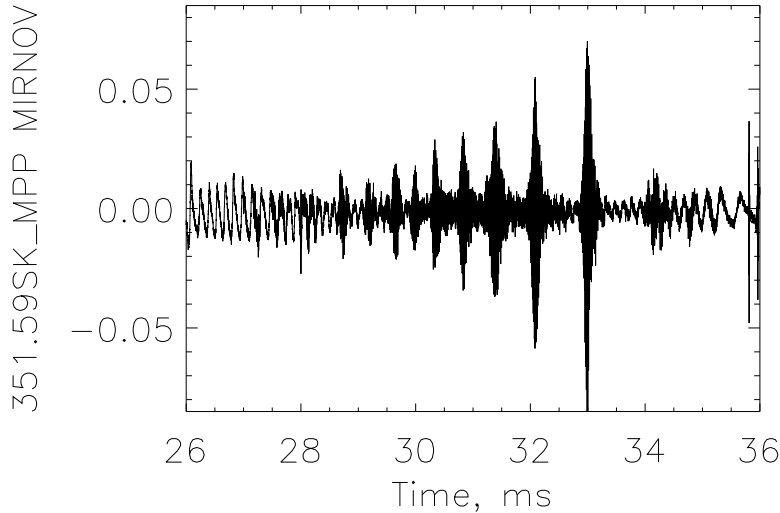


The radial structure of the eigenfunctions of lower (left) and upper core-localised TAE at different values of thermal plasma  $\beta$ . TAE disappears at  $\alpha \geq \alpha_{\text{crit}} = \epsilon + 2\Delta' \pm S^2$  (see [8])

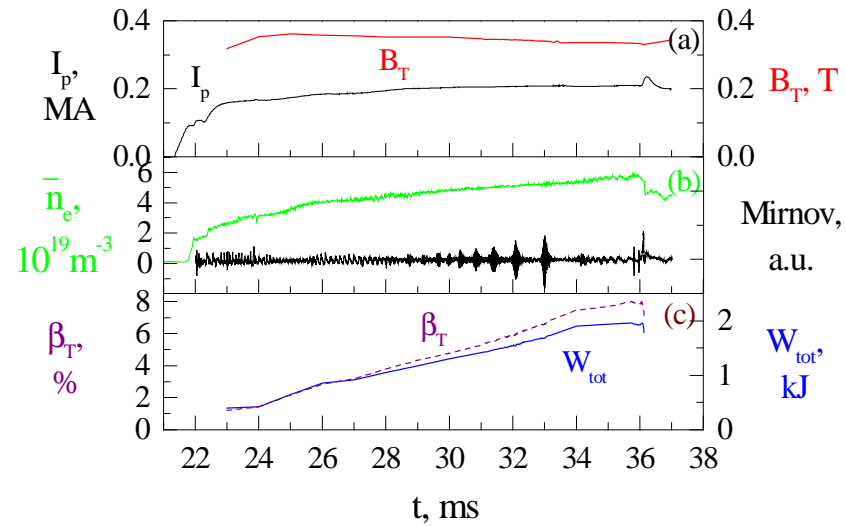
[8] M.P.Gryaznevich, S.E.Sharapov, PPCF 46 (2004) S15

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# CHIRPING MODES IN START DISCHARGES

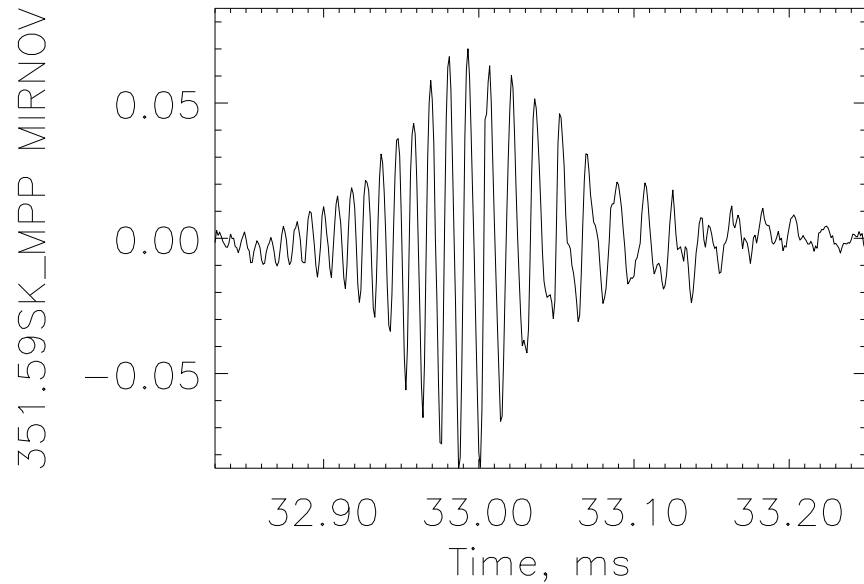


Magnetic perturbations  $\partial(\delta B_p)/\partial t$  showing chirping modes detected by the outboard Mirnov coil.

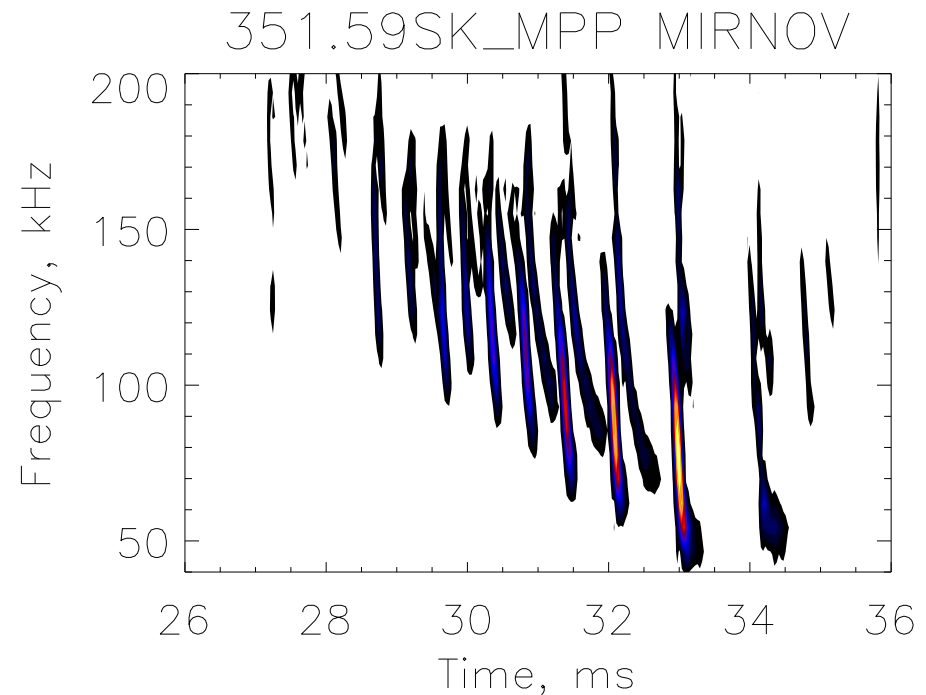


Temporal evolution of plasma current  $I_p$ , toroidal magnetic field  $B_T$ , line-averaged plasma density, volume-averaged  $\beta$ , plasma energy content, and the bursts of magnetic perturbations in NBI heated START discharge #35159

## CHIRPING MODES IN START DISCHARGES: SOFT X-RAY

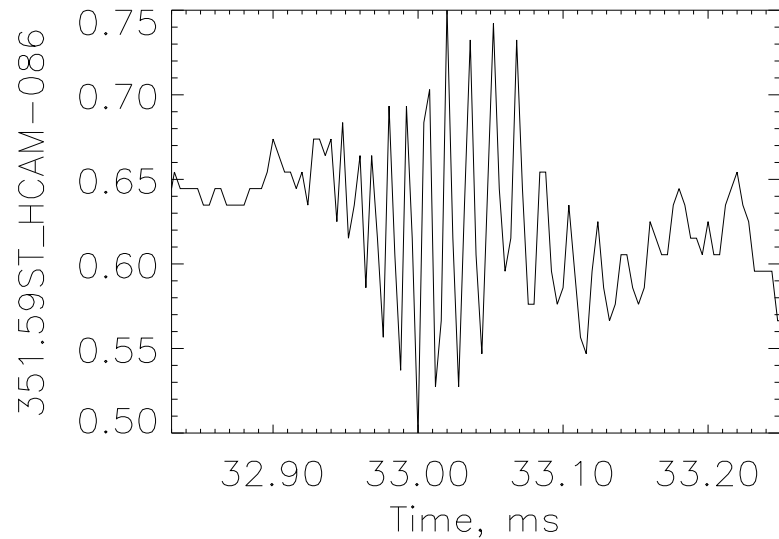


**Zoom of a single burst showing frequency-sweeping 'chirping' mode on Mirnov coil**

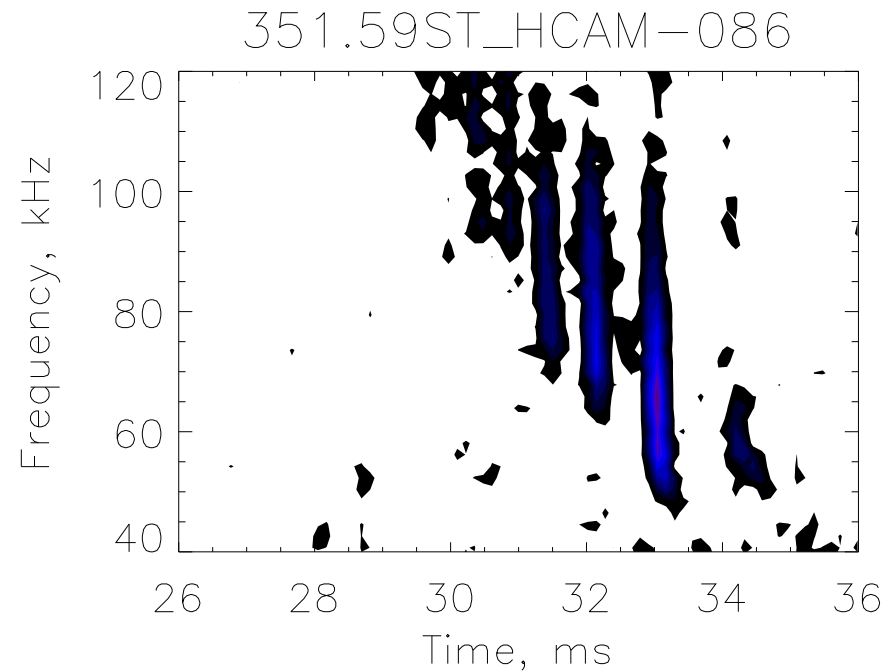


**Magnetic spectrogram showing amplitude of chirping modes as function of time and the mode frequency.**

## CHIRPING MODES IN START DISCHARGES: SOFT X-RAY

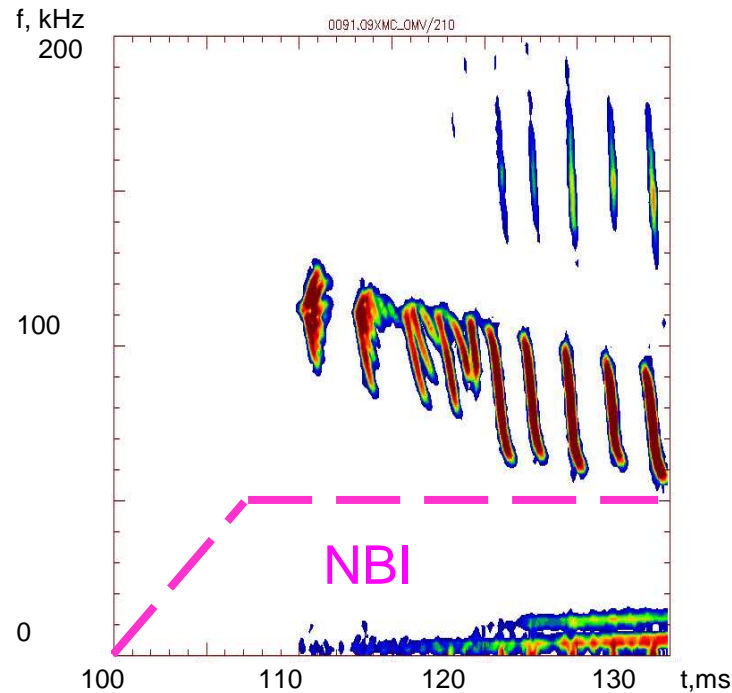


**The same frequency-sweeping perturbation seen by the horizontal SXR camera with chord at Z=-6.1 cm.**



**The Fourier transformed SXR data showing the same chirping modes**

# CHIRPING MODES IN MAST DISCHARGES



Chirping modes similar to those observed on START, are also typical for MAST (example shows MAST #9109, 1.2 MW of 40 keV NBI at  $I_p$  flat-top,  $\beta \approx 3\%$ ). New: chirping modes with higher  $n = 3$  observed.

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# CHIRPING MODES

- In higher  $\beta$  discharges, i.e.  $5\% < \beta < 15\%$ , the Alfvén instabilities on MAST and START were dominated by ‘chirping’ modes<sup>9</sup>
- These modes are identified as non-perturbative EPMS<sup>10-13</sup>. Much larger fractional frequency shift ( $\delta\omega / \omega \sim 50\%$ ) for chirping modes than that for hole-clump pairs ( $\delta\omega / \omega \leq 20\%$ ) show that a non-perturbative EPM triggers larger sweeps than a perturbative TAE similar to the perturbative vs non-perturbative fishbone simulation<sup>14</sup>.
- How these modes behave as  $\beta$  increases further, to  $\beta > 15\%$ ? Stronger stabilising effect of thermal ion Landau damping is expected.

[9] W.W.Heidbrink, PPCF 37 (1995) 937

[10] Liu Chen, Phys. Plasmas 1 (1994) 1519

[11] F.Zonca, L.Chen, Physics of Plasmas 3 (1996) 323

[12] C.Z.Cheng et al., Nuclear Fusion 35 (1995) 1639

[13] M.P.Gryaznevich, S.E.Sharapov, Nuclear Fusion 40 (2000) 907

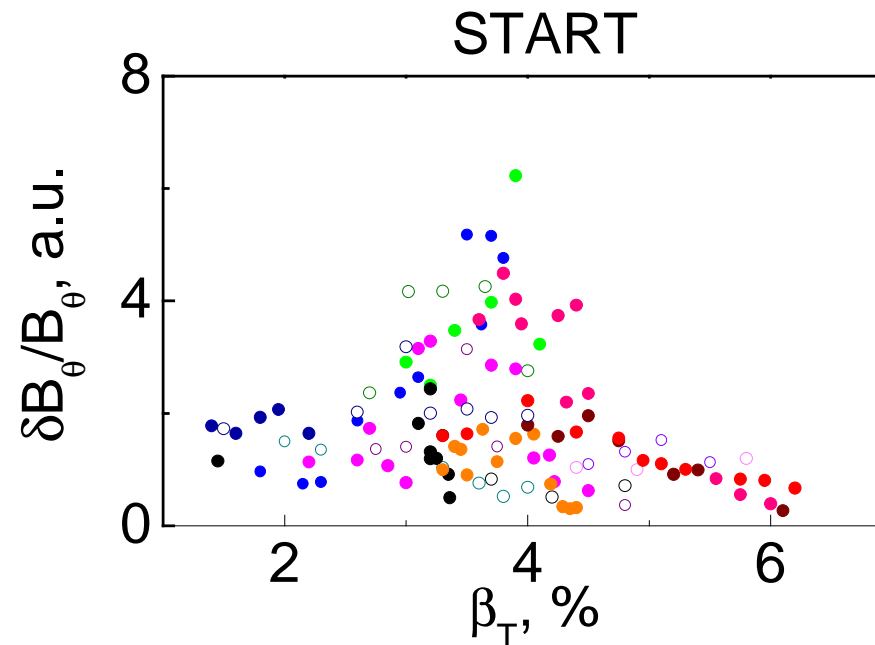
[14] J.Candy, H.L.Berk, B.N.Breizman, F.Porcelli, Physics of Plasmas 6 (1999) 1822

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## AMPLITUDE OF CHIRPING MODES AS FUNCTION OF $\beta$ : START

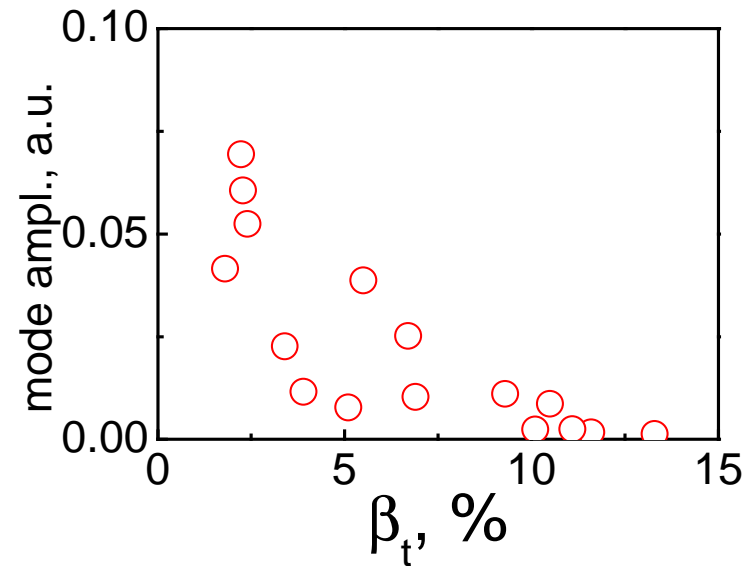
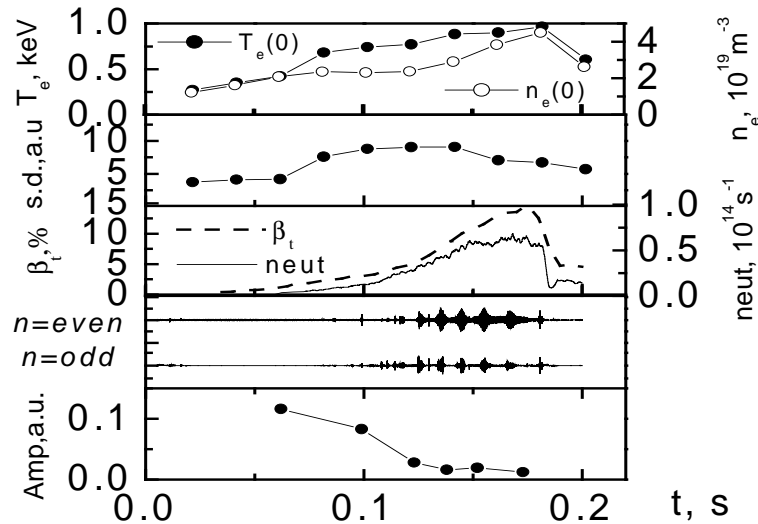
- On START, the chirping mode amplitude decreases as beta increases.
- No chirping modes observed at beta > 6.5 %.
- Initial increase of mode amplitude with beta may be related to increase in the fast ion pressure.



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# AMPLITUDE OF CHIRPING MODES AS FUNCTION OF $\beta$ : MAST

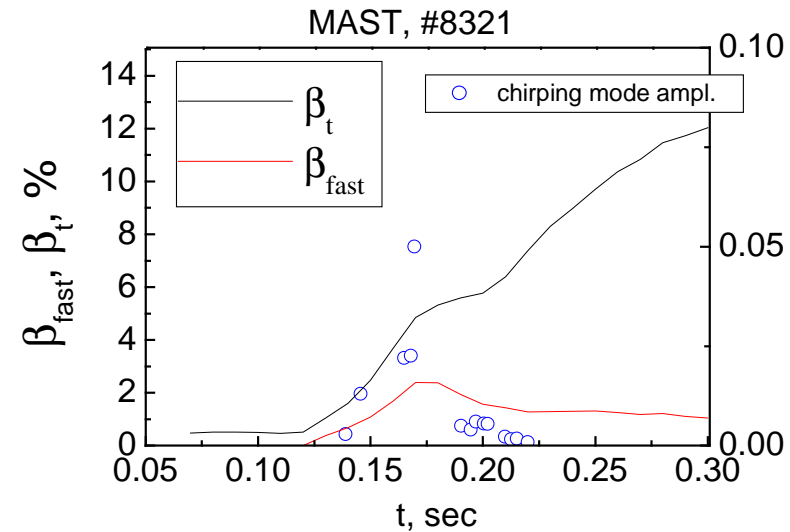
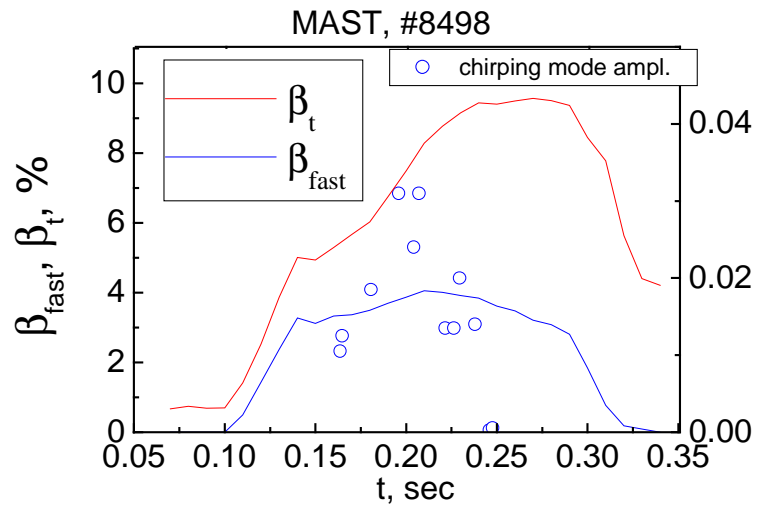


Signals for a typical 0.8 MA, 0.45 T MAST discharge #8977 with NBI power 2.7 MW. Amplitude of chirping modes (bottom) decreases with increasing  $\beta$  at nearly constant slowing-down time.

Dependence on  $\beta$  of the maximum amplitude in a single burst of chirping modes, in NBI discharges on MAST



# AMPLITUDE OF CHIRPING MODES AS FUNCTION OF $\beta$ : MAST



**TRANSP analysis showing  $\beta_{fast}$  and  $\beta_{thermal}$  together with the chirping mode amplitudes in MAST discharge #8498**

**TRANSP analysis showing  $\beta_{fast}$  and  $\beta_{thermal}$  together with the chirping mode amplitudes in MAST discharge #8321**

# CONCLUSIONS

- STs are a perfect test-bed for studying Alfvén instabilities in a wide range of plasma and fast ion parameters.
- Both perturbative and non-perturbative Alfvén Eigenmodes observed.
- Three different regimes of high-frequency Alfvén instabilities in ST:
  - 1) Low-beta “classical” TAE regime;
  - 2) Medium-beta “chirping mode” regime;
  - 3) High-beta,  $\beta(0) \approx 1$ , regime relevant for burning ST.
- Low-beta regime shows TAEs & EAEs.
- Pitchfork splitting and frequency-sweeping modes emerging from TAEs are observed.

- Modelling with the HAGIS code shows that these sweeping modes can be identified as hole-clump pairs.
- Suppression of TAEs by the pressure effect was investigated. For typical START and MAST data, no TAEs observed at  $\beta > 5\%$ .
- For chirping modes, a decrease in mode amplitude as beta increases was established for both START and MAST data.
- These findings show that the main Alfvén instabilities driven by gradient of fast ion pressure, TAEs and the chirping modes are likely to be absent in burning plasma STs with  $\beta(0) \approx 1$ . Remaining known instabilities (fishbones and compressional Alfvén eigenmodes) must be investigated in the high-beta regimes experimentally.